

STATE OF CALIFORNIA  
ENVIRONMENTAL PROTECTION AGENCY  
DEPARTMENT OF TOXIC SUBSTANCES CONTROL

In the Matter of:

Coherent, Inc., a Delaware Corporation  
Doing business as Coherent Inc. Auburn  
2301 Lindberg Street  
Auburn, California 95602

ID No: CAD 034 635 730

Respondent.

Docket HWCA 2004-0593

CONSENT ORDER

Health and Safety Code  
Section 25187

The State Department of Toxic Substances Control (Department) and Coherent, Inc., a Delaware Corporation, doing business as Coherent Inc. Auburn (Respondent), and whose corporate address is 5100 Patrick Henry Drive, Santa Clara, California 95054, enter into this Consent Order and agree as follows:

1. Respondent generates hazardous waste at the following site: 2301 Lindberg Street, Auburn, California 95602 (Site), in Placer County.
2. The Department inspected the Site on April 28, 2004.
3. The Department alleges the following violations:
  - 3.1. The Respondent violated California Code of Regulations, title 22, section 66262.34(a) in that on or about April 28, 2004, the Respondent accumulated seven 55-gallon drums and two 30-gallon drums of hazardous waste for greater than 90-days (the facility is a Large Quantity Generator) without authorization under the Hazardous Waste Control Law (Health and Safety Code section 25100 et seq.). Waste streams included corrosive and/or ignitable solvents, resins, and sludges, all located in the main Waste Building.

3.2. Respondent violated California Code of Regulations, title 22, section 66270.1 in that on or about April 28, 2004, the Respondent evaporated the remaining liquid in thirteen two-gallon bottles of acetone in the Waste Building, constituting treatment without authorization under the Hazardous Waste Control Law (Health and Safety Code section 25100 et seq.).

3.3. Respondent violated California Code of Regulations, title 22, section 66262.34(f) in that on or about April 28, 2004, the Respondent failed to label two 55-gallon drums and a container of hazardous waste rags with any information whatsoever.

3.4. Respondent violated California Code of Regulations, title 22, section 66265.192(h)(1) in that on or about April 28, 2004, the Respondent failed to re-assess the Conditionally Authorized tank unit within the last five years, to wit: the most recent tank assessment dated back to 1993.

4. The parties wish to avoid the expense of litigation and to ensure prompt compliance.

5. Jurisdiction exists pursuant to Health and Safety Code section 25187.

6. Respondent waives any right to a hearing in this matter.

7. This Consent Order shall constitute full and final settlement of the violations alleged above, but does not limit the Department from taking appropriate enforcement action concerning other violations.

8. Respondent admits the violations above.

#### SCHEDULE FOR COMPLIANCE

9.1. Respondent certifies that they have corrected the conditions underlying the violations alleged in paragraphs 3.1, 3.2, 3.3, and 3.4. The Department relies on the assertion as material facts in entering this order.

9.2. Respondent has submitted documentation to the Department to show that the violations alleged in paragraphs 3.1, 3.2, 3.3, and 3.4 have been adequately

corrected or addressed. Therefore, no further actions are required on the part of Respondent with respect to these alleged violations.

9.3. Submittals: All submittals from Respondent pursuant to this Consent Order shall be sent to:

Mr. Charles A. McLaughlin, Chief  
State Oversight and Enforcement Branch  
Statewide Compliance Division  
Department of Toxic Substances Control  
8800 Cal Center Drive  
Sacramento, California 95826-3200

9.4. Communications: All approvals and decisions of the Department made regarding such submittals and notifications shall be communicated to Respondent in writing by a Branch Chief, Department of Toxic Substances Control, or his/her designee. No informal advice, guidance, suggestions, or comments by the Department regarding reports, plans, specifications, schedules, or any other writings by Respondent shall be construed to relieve Respondent of its obligation to obtain such formal approvals as may be required.

9.5. Department Review and Approval: If the Department determines that any report, plan, schedule, or other document submitted for approval pursuant to this Consent Order fails to comply with the Consent Order or fails to protect public health or safety or the environment, the Department may return the document to Respondent with recommended changes and a date by which Respondent must submit to the Department a revised document incorporating the recommended changes.

9.6. Compliance with Applicable Laws: Respondent shall carry out this Order in compliance with all local, State, and federal requirements, including but not limited to requirements to obtain permits and to assure worker safety.

9.7. Endangerment during Implementation: In the event that the Department determines that any circumstances or activity (whether or not pursued in

compliance with this Consent Order) are creating an imminent or substantial endangerment to the health or welfare of people on the site or in the surrounding area or to the environment, the Department may order Respondent to stop further implementation for such period of time as needed to abate the endangerment. Any deadline in this Consent Order directly affected by a Stop Work Order under this section shall be extended for the term of such Stop Work Order.

9.8. Liability: Nothing in this Consent Order shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of Respondent, except as provided in this Consent Order. Notwithstanding compliance with the terms of this Consent Order, Respondent may be required to take further actions as are necessary to protect public health or welfare or the environment.

9.9. Site Access: Access to the Site shall be provided at all reasonable times to employees, contractors, and consultants of the Department, and any agency having jurisdiction. Nothing in this Consent Order is intended to limit in any way the right of entry or inspection that any agency may otherwise have by operation of any law. The Department and its authorized representatives may enter and move freely about all property at the Site at all reasonable times for purposes including but not limited to: inspecting records, operating logs, and contracts relating to the Site; reviewing the progress of Respondent in carrying out the terms of this Consent Order; and conducting such tests as the Department may deem necessary. Respondent shall permit such persons to inspect and copy all records, documents, and other writings, including all sampling and monitoring data, in any way pertaining to work undertaken pursuant to this Consent Order.

9.10. Sampling, Data, and Document Availability: Respondent shall permit the Department and its authorized representatives to inspect and copy all sampling, testing, monitoring, and other data generated by Respondent or on

Respondent's behalf in any way pertaining to work undertaken pursuant to this Consent Order. Respondent shall allow the Department and its authorized representatives to take duplicates of any samples collected by Respondent pursuant to this Consent Order. Respondent shall maintain a central depository of the data, reports, and other documents prepared pursuant to this Consent Order. All such data, reports, and other documents shall be preserved by Respondent for a minimum of six years after the conclusion of all activities under this Consent Order. If the Department requests that some or all of these documents be preserved for a longer period of time, Respondent shall either comply with that request, deliver the documents to the Department, or permit the Department to copy the documents prior to destruction. Respondent shall notify the Department in writing at least six months prior to destroying any documents prepared pursuant to this Consent Order.

9.11. Government Liabilities: The State of California shall not be liable for injuries or damages to persons or property resulting from acts or omissions by Respondent or related parties specified in paragraph 12.3, in carrying out activities pursuant to this Consent Order, nor shall the State of California be held as a party to any contract entered into by Respondent or its agents in carrying out activities pursuant to this Consent Order.

9.12. Incorporation of Plans and Reports: All plans, schedules, and reports that require Department approval and are submitted by Respondent pursuant to this Consent Order are incorporated in this Consent Order upon approval by the Department.

### PAYMENTS

10. Within 30 days of the effective date of this Consent Order, Respondent shall pay the Department a total of \$22,040. Respondent's check shall be made payable to Department of Toxic Substances Control, and shall be delivered together with the attached Payment Voucher to:

Department of Toxic Substances Control  
Accounting Office  
1001 I Street, 21st floor  
P. O. Box 806  
Sacramento, California 95812-0806.

A photocopy of the check shall be sent simultaneously to:

Mr. Charles A. McLaughlin, Chief  
State Oversight and Enforcement Branch  
Statewide Compliance Division  
Department of Toxic Substances Control  
8800 Cal Center Drive  
Sacramento, California 95826-3200

and

Ms. Vivian Murai  
Office of Legal Counsel and Investigations  
Department of Toxic Substances Control  
1001 "I" Street, 23<sup>rd</sup> Floor  
P.O. Box 806  
Sacramento, California 95812-0806.

If Respondent fails to make payment as provided above, Respondent agrees to pay interest at the rate established pursuant to Health and Safety Code section 25360.1 and to pay all costs incurred by the Department in pursuing collection including attorney's fees.

#### OTHER PROVISIONS

11.1. Additional Enforcement Actions: By agreeing to this Consent Order, the Department does not waive the right to take further enforcement actions, except to the extent provided in this Consent Order.

11.2. Penalties for Noncompliance: Failure to comply with the terms of this Consent Order may subject Respondent to civil penalties and/or punitive damages for any costs incurred by the Department or other government agencies as a result of such failure, as provided by Health and Safety Code section 25188 and other applicable provisions of law.

11.3. Parties Bound: This Consent Order shall apply to and be binding upon Respondent and its officers, directors, agents, receivers, trustees, employees, contractors, consultants, successors, and assignees, including but not limited to individuals, partners, and subsidiary and parent corporations, and upon the Department and any successor agency that may have responsibility for and jurisdiction over the subject matter of this Consent Order.

11.4. Effective Date: The effective date of this Consent Order is the date it is signed by the Department.

11.5. Integration: This agreement constitutes the entire agreement between the parties and may not be amended, supplemented, or modified, except as provided in this agreement.

11.6. Compliance with Waste Discharge Requirements: Respondent shall comply with all applicable waste discharge requirements issued by the State Water Resources Control Board or the California Regional Water Quality Control Board.

Dated: December 14, 2004

Original Signed By Ken Perkins  
\_\_\_\_\_  
Signature of Respondent

Ken Perkins, Corporate Director, EH&S  
\_\_\_\_\_  
Typed or printed name, Title of Respondent  
Coherent, Inc.

Dated: December 21, 2004

Original Signed by Charles A. McLaughlin  
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Charles A. McLaughlin, Chief  
State Oversight and Enforcement Branch  
Statewide Compliance Division  
Department of Toxic Substances Control